

**19 September 2016**

**Audit and Scrutiny Committee**

**Internal Audit Progress Report**

**Report of:** *Greg Rubins, Head of Internal Audit (BDO)*

**Wards Affected:** *All*

**This report is:** *Public*

**1. Executive Summary**

1.1 This report details the progress to date against the 2015/16 and 2016/17 internal audit plans that were agreed by the Audit and Scrutiny Committee in March 2015 and March 2016 respectively.

1.2 The following reports have been completed since the last Committee and a summary of our findings are included in the progress report:

- IT security and governance (limited assurance)
- Localism (moderate assurance)
- Policy review (moderate assurance)
- Licensing (moderate assurance)

The full reports are available on request.

**2. Recommendation**

**2.1 That the Committee receives and notes the contents of the reports.**

**3. Introduction and Background**

3.1 The Audit and Scrutiny Committee approved the 2015/16 and 2016/17 annual audit plans. The progress against plan is reported at every Audit and Scrutiny Committee.

**4. Issue, Options and Analysis of Options**

4.1 Not applicable.

**5. Reasons for Recommendation**

5.1 To monitor the progress of work against the internal audit plan.

**6. Consultation**

6.1 Not applicable.

**7. References to Corporate Plan**

7.1 Good financial management underpins all priorities within the Corporate Plan.

**8. Implications**

**Financial Implications**

**Name & Title:** Ramesh Prashar, Financial Services Manager

**Tel & Email:** 01277 312513 / ramesh.prashar@brentwood.gov.uk

8.1 There are no direct financial implications arising from this report.

**Legal Implications**

**Name & Title:** Saleem Chughtai, Legal Services Manager

**Tel & Email** 01277 312500 / saleem.chughtai@brentwood.gov.uk

8.2 There are no legal implications arising directly from this report.

**9. Background Papers**

9.1 None

**10. Appendices to this report**

Appendix A – Internal Audit Progress Report

**Report Author Contact Details:**

**Name:** Greg Rubins, Head of Internal Audit (BDO)

**Telephone:** 02380 881892

**E-mail:** greg.rubins@bdo.co.uk

**Name:** Andrew Barnes, Internal Audit Manager (BDO)

**Telephone:** 01473 320745

**E-mail:** andrew.barnes@bdo.co.uk